



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/042,625	01/09/2002	Francis Ambrose Broderick	FR920000074US1	4352
45092 7590 12/27/2007 HOFFMAN, WARNICK & D'ALESSANDRO LLC 75 STATE ST 14TH FLOOR ALBANY, NY 12207			EXAMINER DESHPANDE, KALYAN K	
			ART UNIT 3623	PAPER NUMBER
			MAIL DATE 12/27/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/042,625

Applicant(s)

BRODERICK ET AL.

Examiner

Kalyan K. Deshpande

Art Unit

3623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 October 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 09 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
 - 2) ☐ Certified copies of the priority documents have been received in Application No. _____.
 - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Introduction

1. The following is a final office action in response to the communications received on October 12, 2007. Claims 1-8 are now pending in this application.

Response to Amendments

2. Applicants' amendments to claims 1 and 5-8 are acknowledged.

Response to Arguments

3. Applicants' arguments filed on October 12, 2007 have been fully considered but are not found persuasive in part and are moot under new grounds of rejection in part. Applicants broadly argue 1) Alter and Ruffin fail to teach "physically consolidating IT sites of the geographic area to form a unique project geographic area for realizing the project business need by considering project cost parameters and geographic site peculiarities" and 2) "entering in a database the values of said criteria" is not admitted prior art.

In response to Applicants' argument Alter and Ruffin fail to teach "physically consolidating IT sites of the geographic area to form a unique project geographic area for realizing the project business need by considering project cost parameters and geographic site peculiarities", Examiner respectfully disagree. Applicants specifically argue that Ruffin merely teaches consolidating sites without any suggestion of how it is to be done and fails to take in to account a business project need, costs, people, and cultural differences. Examiner submits that Applicants are reading the Ruffin reference in a vacuum. Ruffin explicitly teaches consolidating sites based on a business need

Art Unit: 3623

and costs (see Ruffin Abstract and column 4 lines 1-16). Ruffin further discloses a method of how this is to be done (see Ruffin column 4 lines 35-67). Furthermore, the limitations recited in the present claims fail to limit the invention to require a specific method of implementation of consolidating sites. Applicants are reminded that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Examiner submits Willcocks in order to consider people and cultural differences as discussed in the new grounds of rejection below.

In response to Applicants' argument "entering in a database the values of said criteria" is not admitted prior art, Examiner respectfully disagrees. Examiner again notes the following discussion of Official Notice taken from the MPEP:

To adequately traverse such a finding, an applicant must specifically point out the supposed errors in the examiner's action, which would include stating why the noticed fact is not considered to be common knowledge or well-known in the art. See 37 CFR 1.111(b). See also *Chevenard*, 139 F.2d at 713, 60 USPQ at 241 ("[I]n the absence of any demand by appellant for the examiner to produce authority for his statement, we will not consider this contention."). A general allegation that the claims define a patentable invention without any reference to the examiner's assertion of official notice would be inadequate. If applicant adequately traverses the examiner's assertion of official notice, the examiner must provide documentary evidence in the next Office action if the rejection is to be maintained. See 37 CFR 1.104(c)(2). See also *Zurko*, 258 F.3d at 1386, 59 USPQ2d at 1697 ("[T]he Board [or examiner] must point to some concrete evidence in the record in support of these findings" to satisfy the substantial evidence test). If the examiner is relying on personal knowledge to support the finding of what is known in the art, the examiner must provide an affidavit or declaration setting forth specific factual statements and explanation to support the finding. See 37 CFR 1.104(d)(2). If applicant does not traverse the examiner's assertion of official notice or applicant's traverse is not adequate, the examiner should clearly indicate in the next Office action that the common knowledge or well-known in the art statement is taken to be admitted prior art because applicant either failed to traverse the examiner's assertion of official notice or that the traverse was inadequate. If the traverse was inadequate, the examiner should include an explanation as to why it was inadequate. (MPEP § 2144.03(C))

First, Applicant has not "specifically point[ed] out the supposed errors in the examiner's action, which would include stating why the noticed fact is not considered to be common knowledge or well-known in the art." For these reasons, "entering in a database the values of said criteria" is taken to be admitted prior art because Applicant's traversal was inadequate. Second, Applicant's challenge is not timely. All statements of Official Notice made in the art rejection have been on record since issuance of the non-final rejection mailed on April 3, 2006. In the subsequent response filed on July 3, 2006, Applicants were silent on the matter of Official Notice. Consequently, the statements of Official Notice made in the art rejection have been established as admitted prior art due to Applicants' failure to adequately traverse the Examiner's assertions of Official Notice. Therefore, Applicants have not sufficiently switched back to the Examiner the burden of supplying references in support of her assertions of Official Notice.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Alter (Alter, Steven; *Information Systems: A Management Perspective*, 2nd Edition, The Benjamin/Cummings Publishing Company, 1996) in view of Ruffin (U.S. Patent No.

Art Unit: 3623

6219654) and in further view of Willcocks et al. (Willcocks, Leslie; Choi, Chong; "Co-operative Partnership and 'Total' IT Outsourcing: From Contractual Obligation to Strategic Alliance?", European Management Journal, March 1995).

As per claim 1, Alter teaches:

A project management method for optimizing Information Technology (IT) sites including skilled people groups and computer equipment, said method comprising the steps of:

defining a project business need, the project business need being discrete and having a fixed duration (see pp. 552-553 and 558-559; where information systems plans are linked to a company's business plan. The critical success factors include business needs such as improving customer relationships, improving supplier relationships, making the best use of inventory, and using capital and human resources efficiently and effectively. Furthermore, these business needs are broken down into business processes and can be reengineered. The project is discrete and deadline and completion dates are set, thus the project has a fixed duration.);

defining a project technical need, the project technical need involving resources needed to realize the project business needs (see pp. 552-553; where specific technical needs are determined and defined. The technical needs are resources that are needed to complete the project.);

determining, according to the project business need, a number of IT sites spread over a geographic area (see pp. 551-559 and 564-565; where IT sites have data centers. Data centers can be located at the corporate headquarters, regional

Art Unit: 3623

processing centers, site processing centers, department processors, workgroup processors, or at individual client machines. The data centers are determined in accordance to the business needs.);

determining, according to the project technical need, the skilled people groups and computer equipment required inside the geographic area (see p. 551-559; where distinct roles are assigned to specific personnel who have the requisite skill set to perform the assigned tasks. The specific personnel are determined based on the technical requirements of the IS plan.);

grouping and distributing, according to technical constraints, said skilled people groups and computer equipment over said IT sites inside the geographic area (see pp. 557 and 564-65; where skilled personnel are grouped in to general roles. Equipment and personnel are distributed based on technical constraints. The technical constraints include decentralized systems that account for local variances versus centralized systems that perform cross-departmental functions well).

Alter fails to explicitly teach "physically consolidating IT sites of the geographic area to form a unique project geographic area for realizing the project business need by considering project cost parameters, distribution of the skilled people, and geographic site peculiarities, which include cultural differences, language differences, and legal constraints". Ruffin, in an analogous art, teaches "physically consolidating IT sites of the geographic area to form a unique project geographic area for realizing the project business need by considering project cost parameters, distribution of the skilled people, and geographic site peculiarities" (see Ruffin column 12 lines 50-67 and column 13 lines

Art Unit: 3623

1-34; where IT sites are geographically consolidated in order to reduce the complexities of the business purpose and contain costs.). Ruffin fails to explicitly consider “cultural differences, language differences, and legal constraints” in its consolidation. Willcocks, in an analogous art, explicitly teaches “cultural differences, language differences, and legal constraints” in consolidating and outsourcing (see Willcocks pp. 69-71; where cultural differences, language differences, and legal constraints are considered in outsourcing.). The advantage of such a feature that it facilitates the success of an IS outsourcing plan. It would have been obvious, at the time of the invention, to one of ordinary skill in the art to combine the feature of “physically consolidating IT sites of the geographic area to form a unique project geographic area for realizing the project business need by considering project cost parameters, distribution of the skilled people, and geographic site peculiarities, which include cultural differences, language differences, and legal constraints” taught by Ruffin and Willcocks to Alter in order to facilitate the success of the IS outsourcing plan, which is a goal of Alter (see Alter p. 552).

As per claim 2, Alter teaches:

The method of claim 1, further comprising the step of process and method standardization before the consolidating step, said process and method standardization step comprising the steps of:

listing processes and methods used in the IT sites as determined (see pp. 559 and 565-566; where corporate standards and procedures are determined);

listing criteria allowing assessment of efficiency of said processes and methods in the IT sites as determined and according to the skilled people groups and computer equipment as determined, grouped, and distributed (see pp. 570-574; where assessment of efficiency is determined using multiple standards and procedures);

determining best processes and methods according to values of said criteria; and (see pp. 565-566 and 570-574; where best practices are determined and implemented and can be based on efficiency),

implementing the best processes and methods in the IT sites as determined (see pp. 565-566 and 570-574; where best practices are determined and implemented and can be based on efficiency).

As per claim 3, Alter teaches:

The method of claim 2 wherein the step of determining the best processes and method further comprises the steps of:

creating with a graphic user interface an evolutionary image of the values of the criteria (see p. 573; where a graph displaying costs, benefits and cumulative net benefit is created for a project); and

analyzing the image for determining the best processes and methods (see p. 573; where the image is analyzed to determine the value of the project).

Alter fails to explicitly teach entering the values into a database. It is old and well-known in the art to enter data into a database after it has been collected so that the data can be pulled to generate graphs and reports. The advantage of storing the data

Art Unit: 3623

in a database is that the data can be easily accessible, thereby increasing the efficiency of the system. It would have been obvious, at the time of the invention, to one of ordinary skill in the art to store data in to a database in order to increase the overall system efficiency, which is a goal of Alter (see p. 570).

As per claims 4 and 5, Alter fails to explicitly teach repeating the steps of listing criteria, determining best processes and methods, and implementing the best processes and practices. It is old and well known in the art to repeat steps in a process. The advantage of repeating steps is that repeating critical steps ensure the accuracy of the result of the steps. It would have been obvious, at the time of the invention, to one of ordinary skill in the art to repeat the steps of listing criteria, determining the best processes and practices, and implementing the best processes and practices in order to ensure the accuracy of the results of the steps, which is a goal of Alter (see p. 570).

As per claim 6, Alter teaches:

The method of claim 5 wherein the IT sites are spread over more than one geographic area (see pp. 564-565; where IT sites have data centers. Data centers can be located at the corporate headquarters, regional processing centers, site processing centers, department processors, workgroup processors, or at individual client machines.).

As per claim 7, Alter teaches:

The method of claim 6, further comprising a step of determining, before the step of determining skilled people groups and computer equipment, a management

Art Unit: 3623

organization for the geographic area (see p. 557; where project manage roles are assigned. Each IS department or region is accounted for).

As per claim 8, Alter teaches:

The method of claim 7, further comprising after each step, a step of updating a project management tool displaying a time for executing each step of the method of claim 1 (see p. 576; where a Gantt chart is a tool used to display a time for executing steps of a project).

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kalyan K. Deshpande whose telephone number is (571)272-5880. The examiner can normally be reached on M-F 8am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on (571) 272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3623

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/kkd/


BETH VAN DOREN
PRIMARY EXAMINER